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PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/006,041
		Filing Date	December 6, 2001
		First Named Inventor	Kevin P. BAKER, et al.
		Group/Art Unit	1647
		Examiner Name	Rachel Kapust Hunnicutt
Total Number of Pages in This Submission	13 pages	Attorney Docket Number	39780-2830P1C8

### ENCLOSURES (check all that apply)

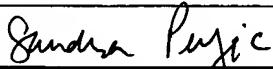
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Version with Markings Showing Changes	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ):
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Copy of Preliminary Amendment as Filed; Copy of Updated Filing Receipt; and a Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		<b>Remarks</b>
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.</b>

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP		
Signature			
Date	January 10, 2005	Customer Number:	35489/09157

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Typed or printed name	Sandra Puljic		
Signature		Date	January 10, 2005

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**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kevin P. BAKER, et al. Docket No.: 39780-2830P1C8  
Serial No.: 10/006,041 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Rachel Kapust Hunnicutt  
For: **Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same**

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/04/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, PCT Application PCT/US99/28313 filed 11/30/1999, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/099,596 filed 9/9/1998.--

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Express Mail Label: EL 993 687 632 US

Typed or printed name	Sandra Puljic		
Signature	Sandra Puljic	Date	January 10, 2005

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830P1C8).

Respectfully submitted,

Dated: January 10, 2005

  
Anna L. Barry  
Reg. No. 51,436

**HELLER EHRMAN WHITE & McAULIFFE LLP**

Customer No. 35489/09157  
275 Middlefield Road  
Menlo Park, CA 94025  
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Patent Docket P2830P1C8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kevin P. Baker et al.  Serial No.: 10/006041  Filed: 12/6/2001	Group Art Unit: Not yet assigned  Examiner: Not yet assigned
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**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on August 29, 2002.

*Patricia Terrill*  
Patricia Terrill

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**--RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, PCT Application PCT/US99/28313 filed 11/30/1999, which is a continuation-in-

**Patent Docket: P2830P1C8**

**Serial No.: 10/006041**

**Filed: 12/6/2001**

part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/099596 filed 9/9/1998.--

Patent Docket: P2830P1C8

Serial No.: 10/006041

Filed: 12/6/2001

REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Date: August 29, 2002  
By: Elizabeth M. Barnes  
Elizabeth M. Barnes, Ph. D.  
Reg. No. 35,059  
Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

**Patent Docket: P2830P1C8**

**Serial No.: 10/006041**

**Filed: 12/6/2001**

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.

BEST AVAILABLE COPY



In Re Application of: Kevin P. Baker et al.

Serial No: 10/300,041 Docket No: P2830P1C8  
Filed on: December 6, 2001 By: Elizabeth M. Barnes  
Mailed on: August 29, 2002 Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped.

Preliminary Amendment  
 Post card



GNE.2830P1C8<sup>PS</sup>  
GRD/DKJ



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,041	12/06/2001	1645	740	GNE.2830P1C8	249	6	1

CONFIRMATION NO. 8875

Ginger R. Dreger  
Knobbe Martens Olson & Bear  
Sixteenth Floor  
620 Newport Center Drive  
Newport Beach, CA 92660

UPDATED FILING RECEIPT



\*OC00000008033440\*

Date Mailed: 05/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001  
AND CLAIMS BENEFIT OF 60/098,716 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,723 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,749 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,750 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,803 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,821 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,843 09/02/1998  
AND CLAIMS BENEFIT OF 60/099,536 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,596 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,598 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,602 09/09/1998  
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AND CLAIMS BENEFIT OF 60/099,792 09/10/1998  
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CLAIMS BENEFIT OF 60/101,743 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,915 09/24/1998  
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AND CLAIMS BENEFIT OF 60/106,464 10/30/1998  
THIS APPLICATION 10/006,041  
CLAIMS BENEFIT OF 60/106,856 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998  
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AND CLAIMS BENEFIT OF 60/129,674 04/16/1999  
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999  
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999  
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999  
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

**Foreign Applications**

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
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PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted 02/04/2002**

**Projected Publication Date:** 08/15/2002

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

435

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